

Fédération Aéronautique Internationale

FAI Anti-Doping Rules & Procedures

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FAI ANTI-DOPING RULES AND PROCEDURES

INTRODUCTION

Preface

At the 96th FAI General Conference in Krakow, Poland, FAI accepted the *World Anti-Doping Code*. These FAI Anti-Doping Rules and Procedures are designed to implement FAI's responsibilities under this Code, and to reflect FAI's determination to ensure that there is no doping in air sports.

Anti-Doping Rules, like *Competition* rules, govern the conditions under which sport is pursued. *Athletes* accept these rules as a condition of participation. Anti-Doping Rules are not intended to be subject to or limited by the requirements and legal standards applicable to criminal proceedings or employment matters. The policies and standards set forth in the *World Anti-Doping Code* and implemented in these Anti-Doping Rules and Procedures represent the consensus of a broad spectrum of opinion of those interested in fair sport, and are likely to be respected by all courts and adjudicating bodies.

The use of italics in these Rules and Procedures (for example: "Athlete") indicates that the word or phrase concerned is defined in Appendix 1. Readers are invited to consult this important Appendix before reading the document itself, and to become familiar with the definitions shown there, especially with the meaning of terms such as "athlete", which here embraces pilots, parachutists and aeromodellers.

Fundamental Rationale for the World Anti-Doping Code and FAI's Anti-Doping Rules and Procedures

Anti-doping programs seek to preserve what is intrinsically valuable about sport. This intrinsic value is sometimes referred to as "the spirit of sport". This spirit of sport is characterized by such positive elements as:

- Ethics, fair play and honesty
- Respect for rules and laws
- Respect for self and other participants
- Teamwork
- Dedication and commitment
- Courage
- Community and solidarity
- Health
- Excellence in performance
- Character and education
- Excitement and pleasure

Doping is fundamentally contrary to the spirit of sport.

Scope

This document consists essentially of text provided by the World Anti-Doping Agency (WADA) and based on the requirements set out in the *World Anti-Doping Code*. In the interests of standardization across all sports and ease of future amendment, WADA terminology has been retained even where this is slightly at variance with traditional FAI usage.

It is not the intention of FAI, nor of WADA, to prohibit the intake of any substance essential for the maintenance of an athlete's health and well-being in the air, and thus for flight safety. In particular, the carriage and use of supplemental oxygen in accordance with the recommendations of the International Civil Aviation Organisation (ICAO) does **not** constitute a violation of any FAI anti-doping rule.

These Anti-Doping Rules and Procedures shall apply to FAI, and where applicable to each *National Airsport Control* of FAI, and each *Participant* in the activities of FAI or any of its *National Airsport Controls* by virtue of the *Participant*'s membership, accreditation, or participation in FAI, its *National Airsport Controls*, or their activities or *Events*.

It is the responsibility of each *National Airsport Control* to ensure that all national-level *Testing* on the *National Airsport Control*'s *Athletes* complies with these Anti-Doping Rules and Procedures. In some cases, the *National Airsport Control* itself will be conducting the *Doping Control* described in these Anti-Doping Rules and Procedures. In other countries, many of the *Doping Control* responsibilities of the *National Airsport Control* have been delegated or assigned by statute to a *National Anti-Doping Organization*. In those countries, references in these Anti-Doping Rules and Procedures to the *National Airsport Control* shall apply, as applicable, to the *National Airsport Control*'s *National Anti-Doping Organization*.

These Anti-Doping Rules and Procedures shall apply to all *Doping Controls* over which FAI and its *National Airsport Controls* have jurisdiction.

National Airsport Controls and Event Organizers should require all Participants to sign an Acknowledgement and Agreement regarding doping in accordance with the format shown at Appendix 2.

ARTICLE 1 DEFINITION OF DOPING

Doping is defined as the occurrence of one or more of the anti-doping rule violations set forth in Article 2.1 through Article 2.8 of these Anti-Doping Rules and Procedures.

ARTICLE 2 ANTI-DOPING RULE VIOLATIONS

The following constitute anti-doping rule violations:

2.1 The presence of a *Prohibited Substance* or its Metabolites or Markers in an *Athlete's* bodily Specimen

- **2.1.1** It is each *Athlete's* personal duty to ensure that no *Prohibited Substance* enters his or her body. *Athletes* are responsible for any *Prohibited Substance* or its *Metabolites* or *Markers* found to be present in their bodily *Specimens*. Accordingly, it is not necessary that intent, fault, negligence or knowing *Use* on the *Athlete's* part be demonstrated in order to establish an anti-doping violation under Article 2.1.
- **2.1.2** Excepting those substances for which a quantitative reporting threshold is specifically identified in the *Prohibited List*, the detected presence of any quantity of a *Prohibited Substance* or its *Metabolites* or *Markers* in an *Athlete's Sample* shall constitute an anti-doping rule violation.
- **2.1.3** As an exception to the general rule of Article 2.1, the *Prohibited List* may establish special criteria for the evaluation of *Prohibited Substances* that can also be produced endogenously.

2.2 Use or Attempted Use of a Prohibited Substance or a Prohibited Method

- **2.2.1** The success or failure of the *Use* of a *Prohibited Substance* or *Prohibited Method* is not material. It is sufficient that the *Prohibited Substance* or *Prohibited Method* was *Used* or *Attempted* to be *Used* for an anti-doping rule violation to be committed.
- **2.3** Refusing, or failing without compelling justification, to submit to *Sample* collection after notification as authorized in these Anti-Doping Rules and Procedures or otherwise evading *Sample* collection.
- **2.4** Violation of the requirements regarding *Athlete* availability for *Out-of-Competition Testing* including failure to provide required whereabouts information set forth in Article 5.5 (*Athlete* whereabouts requirements) and missed tests which are declared based on reasonable rules.
- **2.5** *Tampering*, or *Attempting* to tamper, with any part of *Doping Control*.

2.6 Possession of Prohibited Substances and Methods

2.6.1 Possession by an Athlete at any time or place of a substance that is prohibited in Out-of-Competition Testing or a Prohibited Method unless the Athlete establishes that the Possession is pursuant to a therapeutic use exemption granted in accordance with Article 4.4 (Therapeutic Use) or other acceptable justification.

- **2.6.2** Possession of a Prohibited Substance that is prohibited in Out-of-Competition Testing or a Prohibited Method by Athlete Support Personnel in connection with an Athlete, Event or training, unless the Athlete Support Personnel establishes that the Possession is pursuant to a therapeutic use exemption granted to an Athlete in accordance with Article 4.4 (Therapeutic Use) or other acceptable justification.
- **2.7** Trafficking in any Prohibited Substance or Prohibited Method.
- **2.8** Administration or *Attempted* administration of a *Prohibited Substance* or *Prohibited Method* to any *Athlete*, or assisting, encouraging, aiding, abetting, covering up or any other type of complicity involving an anti-doping rule violation or any *Attempted* violation.

ARTICLE 3 PROOF OF DOPING

3.1 Burdens and Standards of Proof

FAI and its *National Airsport Controls* shall have the burden of establishing that an anti-doping rule violation has occurred. The standard of proof shall be whether FAI or its *National Airsport Control* has established an anti-doping rule violation to the comfortable satisfaction of the hearing body bearing in mind the seriousness of the allegation which is made. This standard of proof in all cases is greater than a mere balance of probability but less than proof beyond a reasonable doubt. Where these Rules place the burden of proof upon the *Athlete* or other *Person* alleged to have committed an anti-doping rule violation to rebut a presumption or establish specified facts or circumstances, the standard of proof shall be by a balance of probability.

3.2 Methods of Establishing Facts and Presumptions

Facts related to anti-doping rule violations may be established by any reliable means, including admissions. The following rules of proof shall be applicable in doping cases:

3.2.1 *WADA*-accredited laboratories are presumed to have conducted *Sample* analysis and custodial procedures in accordance with the *International Standard* for laboratory analysis. The *Athlete* may rebut this presumption by establishing that a departure from the *International Standard* occurred.

If the *Athlete* rebuts the preceding presumption by showing that a departure from the *International Standard* occurred, then FAI or its *National Airsport Control* shall have the burden to establish that such departure did not cause the *Adverse Analytical Finding*.

3.2.2 Departures from the *International Standard* for *Testing* which did not cause an *Adverse Analytical Finding* or other anti-doping rule violation shall not invalidate such results. If the *Athlete* establishes that departures from the *International Standard* occurred during *Testing* then FAI or its *National Airsport Control* shall have the burden to establish that such departures did not cause the *Adverse Analytical Finding* or the factual basis for the anti-doping rule violation.

ARTICLE 4 THE PROHIBITED LIST

4.1 Incorporation of the *Prohibited List*

These Anti-Doping Rules and Procedures incorporate the *Prohibited List* which is published and revised by *WADA* as described in Article 4.1 of the *World Anti-Doping Code*. The Prohibited List in force is available on WADA's website at: http://www.wada-ama.org.

4.2 Prohibited Substances and Prohibited Methods Identified on the Prohibited List

Unless provided otherwise in the *Prohibited List* and/or a revision, the *Prohibited List* and revisions shall go into effect under these Anti-Doping Rules and Procedures three months after publication of the *Prohibited List* by *WADA* without requiring any further action by FAI.

4.3 Criteria for Including Substances and Methods on the *Prohibited List*

As provided in Article 4.4.3 of the *World Anti-Doping Code*, *WADA's* determination of the *Prohibited Substances* and *Prohibited Methods* that will be included on the *Prohibited List* shall be final and shall not be subject to challenge by an *Athlete* or other *Person*.

4.4 Therapeutic Use

- **4.4.1** Athletes with a documented medical condition requiring the use of a *Prohibited Substance* or a *Prohibited Method* must first obtain a Therapeutic Use Exemption ("TUE").
- **4.4.2** Athletes included by FAI in its Registered Testing Pool must obtain a TUE from FAI (regardless of whether the Athlete previously has received a TUE at the national level). TUE's granted by FAI shall be reported to the Athlete's National Airsport Control and to WADA. Other Athletes subject to Testing may obtain a TUE from their National Anti-Doping Organization or other body designated by their National Airsport Control. National Airsport Controls shall promptly report any such TUE's to FAI and WADA.
- **4.4.3** The FAI Executive Board, advised by the FAI Medical and Physiological Commission, shall appoint a panel of physicians to consider requests for TUE's (the "TUE Panel"). Upon FAI's receipt of a TUE request, the Chair of the TUE Panel shall appoint one or more members of the TUE Panel (which may include the Chair) to consider such request. The TUE Panel member(s) so designated shall promptly evaluate such request in accordance with the *International Standard* for Therapeutic Use Exemptions and render a decision on such request, which shall be the final decision of FAI.
 - 4.4.3.1 *International-Level Athletes* who are included in the FAI's *Registered Testing Pool*, should apply to FAI for the TUE at the same time the *Athlete* first provides whereabouts information to the FAI and, except in emergency situations, no later than 21 days before the *Athlete's* participation at an *International Event*.
 - 4.4.3.2 Athletes participating in International Events who are not included in the FAI Registered Testing Pool must, except in

emergency situations, ensure that any TUE obtained from their *National Anti-Doping Organization* or other body designated by their *National Airsport Control* is received by FAI no later than 21 days before the *Athlete's* participation at an *International Event*.

4.4.4 *WADA*, at the request of an *Athlete* or on its own initiation, may review the granting or denial of any TUE to an *International Level Athlete* or a national level *Athlete* that is included in a *Registered Testing Pool*. If *WADA* determines that the granting or denial of a TUE did not comply with the *International Standard* for Therapeutic Use Exemptions in force at the time then *WADA* may reverse that decision. Decisions on TUE's are subject to further appeal as provided in Article 13.

ARTICLE 5 TESTING

5.1 Authority to Test

All Athletes affiliated with a National Airsport Control shall be subject to In-Competition Testing by FAI, the Athlete's National Airsport Control, and any other Anti-Doping Organization responsible for Testing at a Competition or Event in which they participate.

5.2 Responsibility for FAI Testing

The FAI Medico-Physiological Commission shall be responsible for overseeing all *Testing* conducted by FAI. *Testing* may be conducted by members of the FAI Medico-Physiological Commission or by other qualified persons so authorized by FAI.

5.3 Testing Standards

Any *Testing* conducted by FAI and its *National Airsport Controls* shall be in substantial conformity with the *International Standard* for *Testing* in force at the time of *Testing*.

5.4 Coordination of *Testing*

FAI and *National Airsport Controls* shall promptly report completed tests through the *WADA* clearinghouse to avoid unnecessary duplication in *Testing*.

5.5 Athlete Whereabouts Requirements

- **5.5.1** FAI shall identify a *Registered Testing Pool* of those *Athletes* who are required to provide up-to-date whereabouts information to FAI. FAI may revise its *Registered Testing Pool* from time to time as appropriate. Each *Athlete* in the *Registered Testing Pool* shall file reports with FAI which specify on a daily basis the locations and times where the *Athlete* will be residing, training and competing. *Athletes* shall update this information as necessary so that it is current at all times. The ultimate responsibility for providing whereabouts information rests with each *Athlete*, however, it shall be the responsibility of each *National Airsport Control* to use its best efforts to assist FAI in obtaining whereabouts information as requested by FAI.
- **5.5.2** Whereabouts information provided pursuant to Article 5.5.1 shall be shared with *WADA* and other *Anti-Doping Organizations* having jurisdiction to test an *Athlete* on the strict condition that it be used only for *Doping Control* purposes.

5.6 Retirement and Return to Competition

- **5.6.1** An *Athlete* who has been identified by FAI for inclusion in FAI's *Registered Testing Pool* shall continue to be subject to these Anti-Doping Rules and Procedures, including the obligation to be available for *No Advance Notice Out-of-Competition Testing*, unless and until the *Athlete* gives written notice to FAI that he or she has retired or until he or she no longer satisfies the criteria for inclusion in the FAI's *Registered Testing Pool* and has been so informed by FAI.
- **5.6.2** An *Athlete* who has given notice of retirement to FAI may not resume competing unless he or she notifies FAI at least six months before he or she expects to return to competition and is available for unannounced *Out-of-Competition Testing* at any time during the period before actual return to competition.

5.7 Selection of Athletes to be Tested

- **5.7.1** At *International Events*, the appropriate FAI Air Sport Commission, advised by the FAI Medico-Physiological Commission, shall determine the number of tests to be performed. It shall target a certain number of athletes not necessarily linked to final placements in order to maximize the diversity of athletes tested or based on information provided by the WADA Clearinghouse on previous tests.
- **5.7.2** Athletes shall be selected for Out-of-Competition Testing by the appropriate Commissions and by National Airsport Controls through a process that substantially complies with the International Standard for Testing in force at the time of selection.

ARTICLE 6 ANALYSIS OF SAMPLES

Doping Control Samples collected under these Anti-Doping Rules and Procedures shall be analyzed in accordance with the following principles:

6.1 Use of Approved Laboratories

FAI shall send *Doping Control Samples* for analysis only to *WADA*-accredited laboratories or as otherwise approved by *WADA*. The choice of the *WADA*-accredited laboratory (or other method approved by *WADA*) used for the *Sample* analysis shall be determined exclusively by FAI.

6.2 Substances Subject to Detection

Doping Control Samples shall be analyzed to detect *Prohibited Substances* and *Prohibited Methods* identified on the *Prohibited List* and other substances as may be directed by *WADA* pursuant to the Monitoring Program described in Article 4.5 of the *World Anti-Doping Code*.

6.3 Research on Samples

No *Sample* may be used for any purpose other than the detection of substances (or classes of substances) or methods on the *Prohibited List*, or as otherwise identified by *WADA* pursuant to its Monitoring Program, without the *Athlete's* written consent.

6.4 Standards for Sample Analysis and Reporting

Laboratories shall analyze *Doping Control Samples* and report results in conformity with the *International Standard* for Laboratory Analysis.

ARTICLE 7 RESULTS MANAGEMENT

7.1 Results Management for *Tests* Initiated by FAI

Results management for *Tests* initiated by FAI (including *Tests* performed by *WADA* pursuant to agreement with FAI) shall proceed as set forth below:

- **7.1.1** The results from all analyses must be sent to FAI in encoded form, in a report signed by an authorised representative of the laboratory. All communication must be conducted in such a way that the results of the analyses are confidential.
- **7.1.2** Upon receipt of an A Sample Adverse Analytical Finding, the FAI Independent Doping Review Panel shall conduct a review to determine whether: (a) an applicable therapeutic use exemption has been granted, or (b) there is any apparent departure from the International Standards for Testing or Laboratory Analysis that undermines the validity of the Adverse Analytical Finding.
 - **7.1.2.1** The FAI Executive Board shall appoint an Independent Doping Review Panel consisting of a Chair and 3 other members with experience in anti-doping. The members of this Panel shall be bound by the provisions of the FAI Code of Ethics. In each case the Chair of the panel shall appoint 1 or more members of the panel (which may include the Chair) to conduct the review described in Article 7 and to review any other potential violations of these Anti-Doping Rules and Procedures as may be requested by FAI.
- **7.1.3** If the initial review under Article 7.1.2 does not reveal an applicable therapeutic use exemption or departure from the *International Standard* for *Testing* or the *International Standard* for laboratory analysis in force at the time of *Testing* or analysis that undermines the validity of the *Adverse Analytical Finding*, FAI shall promptly notify the *Athlete* of: (a) the *Adverse Analytical Finding*; (b) the anti-doping rule violated, or, in a case under Articles 7.1.8 or 7.1.9, a description of the additional investigation that will be conducted as to whether there is an anti-doping rule violation; (c) the *Athlete's* right to promptly request the analysis of the B *Sample* or, failing such request, that the B *Sample* analysis may be deemed waived; (d) the right of the *Athlete* and/or the *Athlete's* representative to attend the B *Sample* opening and analysis if such analysis is requested; and (e) the *Athlete's* right to request copies of the A and B *Sample* laboratory documentation package which includes information as required by the *International Standard* for Laboratory Analysis.
- **7.1.4** Arrangements shall be made for *Testing* the B *Sample* within three weeks of the notification described in Article 7.1.3. An *Athlete* may accept the A *Sample* analytical results by waiving the requirement for B *Sample* analysis. FAI may nonetheless elect to proceed with the B *Sample* analysis.
- **7.1.5** The *Athlete* and/or his representative shall be allowed to be present at the analysis of the B *Sample*. Also a representative of the *Athlete*'s *National*

Airsport Control as well as a representative of FAI shall be allowed to be present.

- **7.1.6** If the B Sample proves negative, the entire test shall be considered negative and the Athlete, his National Airsport Control, and FAI shall be so informed.
- **7.1.7** If a *Prohibited Substance* or the *Use* of a *Prohibited Method* is identified, the findings shall be reported to the *Athlete*, his *National Airsport Control*, FAI, and to *WADA*.
- **7.1.8** The FAI Independent Review Panel shall conduct any follow-up investigation as may be required by the *Prohibited List*. Upon completion of such follow-up investigation, FAI shall promptly notify the *Athlete* regarding the results of the follow-up investigation and whether or not FAI asserts that an anti-doping rule was violated.
- **7.1.9** For apparent anti-doping rule violations that do not involve *Adverse Analytical Findings*, FAI shall conduct any necessary follow-up investigation and shall then promptly notify the *Athlete* of the anti-doping rule which appears to have been violated, and the basis of the violation.

7.2 Provisional Suspensions

The FAI may *Provisionally Suspend* an *Athlete* prior to the opportunity for a full hearing based on an *Adverse Analytical Finding* from the *Athlete*'s A *Sample* or A and B *Samples* and the review described in Article 7.1. If a *Provisional Suspension* is imposed, either the hearing in accordance with Article 8 shall be advanced to a date which avoids substantial prejudice to the *Athlete*, or the *Athlete* shall be given an opportunity for a *Provisional Hearing* before imposition of the *Provisional Suspension* or on a timely basis after imposition of the *Provisional Suspension*. *National Airsport Controls* may impose *Provisional Suspensions* in accordance with the principles set forth in this Article 7.3.

ARTICLE 8 RIGHT TO A FAIR HEARING

- **8.1** When it appears, following the results management process described in Article 7, that these Anti-Doping Rules and Procedures have been violated, the *Athlete* or other *Person* involved shall be brought before a disciplinary panel of the *Athlete* or other *Person's National Airsport Control* for a hearing to adjudicate whether a violation of these Anti-Doping Rules and Procedures occurred and if so what *Consequences* should be imposed. Such hearing process shall respect the following principles:
- a timely hearing;
- fair and impartial hearing body;
- the right to be represented by counsel at the Person's own expense;
- the right to be fairly and timely informed of the asserted anti-doping rule violation;
- the right to respond to the asserted anti-doping rule violation and resulting Consequences;

- the right of each party to present evidence, including the right to call and question witnesses (subject to the hearing body's discretion to accept testimony by telephone or written submission);
- the *Person*'s right to an interpreter at the hearing, with the Doping Panel to determine the identity, and responsibility for the cost of the interpreter; and
- a timely, written, reasoned decision.
- 8.2 Hearings pursuant to this Article shall be completed expeditiously and in all cases within three months of the completion of the Results Management process described in Article 7. Hearings held in connection with *Events* may be conducted by an expedited process. If the completion of the hearing is delayed beyond three months, FAI may elect, if the *Athlete* is an *International Level Athlete*, to bring the case directly to a single arbitrator from the Court of Arbitration for Sport. The case before the Court of Arbitration for Sport shall be handled in accordance with the Court of Arbitration for Sport appeal procedure without reference to any time limit for appeal. If the completion of the hearing is delayed beyond three months, and the *Athlete* is not an *International Level Athlete*, FAI may elect to bring the case directly to the national level appellate body referenced in Article 13.2.2. In either case, the hearing shall proceed at the responsibility of and the expense of the *National Airsport Control*. In either case the appeal from such decision shall be to the Court of Arbitration for Sport.
- **8.3** National Airsport Controls shall keep FAI fully apprised as to the status of pending cases and the results of all hearings.
- **8.4** FAI shall have the right to attend hearings as an observer.
- **8.5** The *Athlete* or other *Person* may forego a hearing by acknowledging the violation of these Anti-Doping Rules and Procedures and accepting *Consequences* consistent with Articles 9 and 10 as proposed by the *National Airsport Control*.
- **8.6** Decisions by *National Airsport Controls*, whether as the result of a hearing or the *Athlete* or other *Person's* acceptance of *Consequences*, may be appealed as provided in Article 13.
- **8.7** Hearing decisions by the *National Airsport Control* shall not be subject to further administrative review at the national level except as provided in Article 13 or as required by applicable national law.

ARTICLE 9 AUTOMATIC DISQUALIFICATION OF INDIVIDUAL RESULTS

A violation of these Anti-Doping Rules and Procedures in connection with an *In-Competition* test automatically leads to *Disqualification* of the individual result obtained in that *Competition* with all resulting consequences, including forfeiture of any medals, points and prizes.

ARTICLE 10 SANCTIONS ON INDIVIDUALS

10.1 *Disqualification* of Results in *Event* During which an *Anti-Doping Rule* Violation Occurs

An *Anti-Doping Rule* violation occurring during or in connection with an *Event* may lead to *Disqualification* of all of the *Athlete's* individual results obtained in that *Event* with all consequences, including forfeiture of all medals, points and prizes, except as provided in Article 10.1.1.

10.1.1 If the *Athlete* establishes that he or she bears *No Fault or Negligence* for the violation, the *Athlete's* individual results in the other *Competition* shall not be *Disqualified* unless the *Athlete's* results in *Competition* other than the *Competition* in which the anti-doping rule violation occurred were likely to have been affected by the *Athlete's* anti-doping rule violation.

10.2 Imposition of *Ineligibility* for *Prohibited Substances* and *Prohibited Methods*

Except for the specified substances identified in Article 10.3, the period of *Ineligibility* imposed for a violation of Article 2.1 (presence of *Prohibited Substance* or its *Metabolites* or *Markers*), Article 2.2 (*Use* or *Attempted Use* of *Prohibited Substance* or *Prohibited Method*) and Article 2.6 (*Possession* of *Prohibited Substances* and *Methods*) shall be:

First violation: Two (2) years' Ineligibility.

Second violation: Lifetime Ineligibility.

However, the *Athlete* or other *Person* shall have the opportunity in each case, before a period of *Ineligibility* is imposed, to establish the basis for eliminating or reducing this sanction as provided in Article 10.5.

10.3 Specified Substances

The *Prohibited List* may identify specified substances which are particularly susceptible to unintentional anti-doping rules violations because of their general availability in medicinal products or which are less likely to be successfully abused as doping agents. Where an *Athlete* can establish that the *Use* of such a specified substance was not intended to enhance sport performance, the period of *Ineligibility* found in Article 10.2 shall be replaced with the following:

<u>First violation</u>: At a minimum, a warning and reprimand and no period of

Ineligibility from future Events, and at a maximum, one (1)

year's Ineligibility.

Second violation: Two (2) years' *Ineligibility*.

Third violation: Lifetime Ineligibility.

However, the *Athlete* or other *Person* shall have the opportunity in each case, before a period of *Ineligibility* is imposed, to establish the basis for eliminating or reducing (in the case of a second or third violation) this sanction as provided in Article 10.5.

10.4 Ineligibility for Other Anti-Doping Rule Violations

The period of *Ineligibility* for other violations of these Anti-Doping Rules and Procedures shall be:

- **10.4.1** For violations of Article 2.3 (refusing or failing to submit to *Sample* collection) or Article 2.5 (*Tampering* with *Doping Control*), the *Ineligibility* periods set forth in Article 10.2 shall apply.
- **10.4.2** For violations of Article 2.7 (*Trafficking*) or Article 2.8 (administration of *Prohibited Substance* or *Prohibited Method*), the period of *Ineligibility* imposed shall be a minimum of four (4) years up to lifetime *Ineligibility*. An anti-doping rule violation involving a *Minor* shall be considered a particularly serious violation, and, if committed by *Athlete Support Personnel* for violations other than specified substances referenced in Article 10.3, shall result in lifetime *Ineligibility* for such *Athlete Support Personnel*. In addition, violations of such Articles which also violate non-sporting laws and regulations, may be reported to the competent administrative, professional or judicial authorities.
- **10.4.3** For violations of Article 2.4 (Whereabouts Violations or Missed *Tests*), the period of *Ineligibility* shall be:

<u>First violation</u>: A minimum of 3 months' and up to one (1) year's *Ineligibility*.

Second and subsequent violations: Two (2) years' Ineligibility.

10.5 Elimination or Reduction of Period of *Ineligibility* Based on Exceptional Circumstances

- **10.5.1** If the *Athlete* establishes in an individual case involving an anti-doping rule violation under Article 2.1 (presence of *Prohibited Substance* or its *Metabolites* or *Markers*) or *Use* of a *Prohibited Substance* or *Prohibited Method* under Article 2.2 that he or she bears *No Fault or Negligence* for the violation, the otherwise applicable period of *Ineligibility* shall be eliminated. When a *Prohibited Substance* or its *Markers* or *Metabolites* is detected in an *Athlete's Specimen* in violation of Article 2.1 (presence of *Prohibited Substance*), the *Athlete* must also establish how the *Prohibited Substance* entered his or her system in order to have the period of *Ineligibility* eliminated. In the event this Article is applied and the period of *Ineligibility* otherwise applicable is eliminated, the anti-doping rule violation shall not be considered a violation for the limited purpose of determining the period of *Ineligibility* for multiple violations under Article 10.2, 10.3 and 10.6.
- **10.5.2** This Article 10.5.2 applies only to anti-doping rule violations involving Article 2.1 (presence of *Prohibited Substance* or its *Metabolites* or *Markers*), *Use* of a *Prohibited Substance* or *Prohibited Method* under Article 2.2, failing to submit to *Sample* collection under Article 2.3, or administration of a *Prohibited Substance* or *Prohibited Method* under Article 2.8. If an *Athlete* establishes in an individual case involving such violations that he or she bears *No Significant Fault or Negligence*, then the period of *Ineligibility* may be reduced, but the reduced period of *Ineligibility* may not be less than one-half of the minimum period of *Ineligibility* otherwise applicable. If the otherwise applicable period of *Ineligibility* is a lifetime, the reduced period under this section may be no less than 8 years. When a *Prohibited Substance* or its *Markers* or *Metabolites* is detected in an *Athlete's Specimen* in violation of Article 2.1 (presence of *Prohibited Substance*), the *Athlete* must also

establish how the *Prohibited Substance* entered his or her system in order to have the period of *Ineligibility* reduced.

10.5.3 The FAI Executive Board may also reduce the period of *Ineligibility* in an individual case where the *Athlete* has provided substantial assistance to FAI which results in FAI discovering or establishing an anti-doping rule violation by another *Person* involving *Possession* under Article 2.6.2 (*Possession* by *Athlete Support Personnel*), Article 2.7 (*Trafficking*), or Article 2.8 (administration to an *Athlete*). The reduced period of *Ineligibility* may not, however, be less than one-half of the minimum period of *Ineligibility* otherwise applicable. If the otherwise applicable period of *Ineligibility* is a lifetime, the reduced period under this Article may be no less than 8 years.

10.6 Rules for Certain Potential Multiple Violations

- **10.6.1** For purposes of imposing sanctions under Article 10.2, 10.3 and 10.4, a second anti-doping rule violation may be considered for purposes of imposing sanctions only if the FAI (or its *National Airsport Control*) can establish that the *Athlete* or other *Person* committed the second anti-doping rule violation after the *Athlete* or other *Person* received notice, or after FAI (or its *National Airsport Control*) made a reasonable attempt to give notice, of the first anti-doping rule violation; if the FAI (or its *National Airsport Control*) cannot establish this, the violations shall be considered as one single first violation, and the sanction imposed shall be based on the violation that carries the more severe sanction.
- **10.6.2** Where an *Athlete*, based on the same *Doping Control*, is found to have committed an anti-doping rule violation involving both a specified substance under Article 10.3 and another *Prohibited Substance* or *Prohibited Method*, the *Athlete* shall be considered to have committed a single anti-doping rule violation, but the sanction imposed shall be based on the *Prohibited Substance* or *Prohibited Method* that carries the most severe sanction.
- **10.6.3** Where an *Athlete* is found to have committed two separate anti-doping rule violations, one involving a specified substance governed by the sanctions set forth in Article 10.3 (specified substances) and the other involving a *Prohibited Substance* or *Prohibited Method* governed by the sanctions set forth in Article 10.2 or a violation governed by the sanctions in Article 10.4.1, the period of *Ineligibility* imposed for the second offense shall be at a minimum two years' *Ineligibility* and at a maximum three years' *Ineligibility*. Any *Athlete* found to have committed a third anti-doping rule violation involving any combination of specified substances under Article 10.3 and any other anti-doping rule violation under Article 10.2 or 10.4.1 shall receive a sanction of lifetime *Ineligibility*.

10.7 Disqualification of Results in Competitions Subsequent to Sample Collection

In addition to the automatic *Disqualification* of the results in the *Competition* which produced the positive *Sample* under Article 9 (Automatic *Disqualification* of Individual Results), all other competitive results obtained from the date a positive *Sample* was collected (whether *In-Competition* or *Out-of-Competition*), or other doping violation occurred, through the commencement of any *Provisional Suspension* or *Ineligibility* period, shall, unless fairness requires otherwise, be *Disqualified* with all of the resulting consequences including forfeiture of any medals, points and prizes.

10.8 Commencement of *Ineligibility* Period

The period of *Ineligibility* shall start on the date of the hearing decision providing for *Ineligibility* or, if the hearing is waived, on the date *Ineligibility* is accepted or otherwise imposed. Any period of *Provisional Suspension* (whether imposed or voluntarily accepted) shall be credited against the total period of *Ineligibility* to be served. Where required by fairness, such as delays in the hearing process or other aspects of *Doping Control* not attributable to the *Athlete*, the FAI or *Anti-Doping Organization* imposing the sanction may start the period of *Ineligibility* at an earlier date commencing as early as the date of *Sample* collection.

10.9 Status During *Ineligibility*

No *Person* who has been declared *Ineligible* may, during the period of *Ineligibility*, participate in any capacity in an *Event* or activity (other than authorized anti-doping education or rehabilitation programs) authorized or organized by FAI or any *National Airsport Control*. In addition, for any anti-doping rule violation not involving specified substances described in Article 10.3, some or all sport-related financial support or other sport-related benefits received by such *Person* will be withheld by FAI and its *National Airsport Controls*.

10.10 Reinstatement Testing

As a condition to regaining eligibility at the end of a specified period of *Ineligibility*, an *Athlete* must, during any period of *Provisional Suspension* or *Ineligibility*, make him or herself available for *Out-of-Competition Testing* by FAI, the applicable *National Airsport Control*, and any other *Anti-Doping Organization* having *Testing* jurisdiction, and must provide current and accurate whereabouts information as provided in Article 5.5. If an *Athlete* subject to a period of *Ineligibility* retires from sport and is removed from *Out-of-Competition Testing* pools and later seeks reinstatement, the *Athlete* shall not be eligible for reinstatement until the *Athlete* has notified FAI and the applicable *National Airsport Control* and has been subject to *Out-of-Competition Testing* for a period of time equal to the longer of the period set forth in Article 5.6 or the period of *Ineligibility* remaining as of the date the *Athlete* had retired.

ARTICLE 11 CONSEQUENCES TO TEAMS

- **11.1** If, in air sports where teams of competitors jointly contribute to the sporting performance, a member of a team is found to have committed a violation of these Anti-Doping Rules and Procedures during an *Event*, the team shall be *Disqualified* from the *Event*.
- **11.2** If a member of a team is found to have committed a violation of these Anti-Doping Rules and Procedures during an *Event* where a team ranking is based on the addition of individual results, the results of the *Athlete* committing the violation will be subtracted from the team result and replaced with the results of the next applicable team member. If by removing the *Athlete*'s results from the team results, the number of *Athletes* counting for the team is less than the required number, the team shall be eliminated from the ranking.

ARTICLE 12 (Deliberately blank.)

ARTICLE 13 APPEALS

13.1 Decisions Subject to Appeal

Decisions made under these Anti-Doping Rules and Procedures may be appealed as set forth below in Article 13.2 through 13.4. Such decisions shall remain in effect while under appeal unless the appellate body orders otherwise. Before an appeal is commenced, any post-decision review authorized in Article [8.7] [8.2.7] must be exhausted.

13.2 Appeals from Decisions Regarding *Anti-Doping* Rule Violations, Consequences, and *Provisional Suspensions*

A decision that an anti-doping rule violation was committed, a decision imposing *Consequences* for an anti-doping rule violation, a decision that no anti-doping rule violation was committed, a decision that the FAI or its *National Airsport Control* lacks jurisdiction to rule on an alleged anti-doping rule violation or its *Consequences*, [and a decision to impose a *Provisional Suspension* as a result of a *Provisional Hearing* or otherwise in violation of Article 7.4] may be appealed exclusively as provided in this Article 13.2. [Notwithstanding any other provision herein, the only *Person* that may appeal from a *Provisional Suspension* is the *Athlete* or other *Person* upon whom the *Provisional Suspension* is imposed.]

- **13.2.1** In cases arising from competition in an *International Event* or in cases involving *International-Level Athletes*, the decision may be appealed exclusively to the Court of Arbitration for Sport ("CAS") in accordance with the provisions applicable before such court.
- **13.2.2** In cases involving *Athletes* that do not have a right to appeal under Article 13.2.1, each *National Airsport Control* should put in place an appeal procedure that respects the following principles: a timely hearing, a fair and impartial hearing body; the right to be represented by a counsel at the person's expense; and a timely, written, reasoned decision. FAI's rights of appeal with respect to these cases are set forth in Article 13.2.3 below.
- **13.2.3** In cases under Article 13.2.1, the following parties shall have the right to appeal to CAS: (a) the Athlete or other Person who is the subject of the decision being appealed; (b) the other party to the case in which the decision was rendered; (c) FAI and any other Anti-Doping Organization under whose rules a sanction could have been imposed; (d) the International Olympic Committee or International Paralympic Committee, as applicable, where the decision may have an effect in relation to the Olympic Games or Paralympic Games, including decisions affecting eligibility for the Olympic Games or Paralympic Games; and (e) WADA. In cases under Article 13.2.2, the parties having the right to appeal to the national-level reviewing body shall be as provided in the National Airsport Control's rules but, at a minimum, shall include: (a) the Athlete or other Person who is the subject of the decision being appealed: (b) the other party to the case in which the decision was rendered; (c) FAI; and (d) WADA. For cases under Article 13.2.2, WADA and FAI shall also have the right to appeal to CAS with respect to the decision of the national-level reviewing body.

13.3 Appeals from Decisions Granting or Denying a Therapeutic Use Exemption

Decisions by WADA reversing the grant or denial of a TUE exemption may be appealed exclusively to CAS by the Athlete, FAI, or National Anti-Doping Organization or other body designated by a National Airsport Control which granted or denied the exemption. Decisions to deny therapeutic use exemptions, and which are not reversed by WADA, may be appealed by International-Level Athletes to CAS and by other Athletes to the national level reviewing body described in Article 13.2.2. If the national level reviewing body reverses the decision to deny a therapeutic use exemption, that decision may be appealed to CAS by WADA.

13.4 Appeal from Decisions Pursuant to Article 12

Decisions by FAI pursuant to Article 12 may be appealed exclusively to CAS by the *National Airsport Control*.

13.5 Time for Filing Appeals

The time to file an appeal to CAS shall be twenty-one (21) days from the date of receipt of the decision by the appealing party. The above notwithstanding, the following shall apply in connection with appeals filed by a party entitled to appeal but which was not a party to the proceedings having lead to the decision subject to appeal:

- a) Within ten (10) days from notice of the decision, such party/ies shall have the right to request from the body having issued the decision a copy of the file on which such body relied;
- b) If such a request is made within the ten-day period, then the party making such request shall have twenty-one (21) days from receipt of the file to file an appeal to CAS.

ARTICLE 14 NATIONAL AIRSPORT CONTROLS' INCORPORATION OF FAI RULES, REPORTING AND RECOGNITION

14.1 Incorporation of FAI Anti-Doping Rules and Procedures

All National Airsport Controls are recommended to adopt these Anti-Doping Rules and Procedures. These Anti-Doping Rules and Procedures should be incorporated either directly or by reference into each National Airsport Control's Rules. All National Airsport Controls should include in their regulations the procedural rules necessary to effectively implement these Anti-Doping Rules and Procedures. The Rules of each National Airsport Control shall specifically provide that all Athletes, Athlete Support Personnel and other Persons under the jurisdiction of the National Airsport Control shall be bound by these Anti-Doping Rules and Procedures.

14.2 Public Disclosure

Neither FAI nor its *National Airsport Control* shall publicly identify *Athletes* whose *Samples* have resulted in *Adverse Analytical Findings*, or who were alleged to have violated other Articles of these Anti-Doping Rules and Procedures until it has been determined in a hearing in accordance with Article 8 that an anti-doping rule violation has occurred, or such hearing has been waived, or the assertion of an anti-doping rule violation has not been timely challenged, or the *Athlete* has been *Provisionally*

Suspended. Once a violation of these Anti-Doping Rules and Procedures has been established, it shall be publicly reported within 20 days.

14.5 Recognition of Decisions by FAI and *National Airsport Controls*

Any decision of FAI or a *National Airsport Control* regarding a violation of these Anti-Doping Rules and Procedures shall be recognized by all *National Airsport Controls*, which shall take all necessary action to render such results effective.

ARTICLE 15 RECOGNITION OF DECISIONS BY OTHER ORGANISATIONS

Subject to the right to appeal provided in Article 13, the *Testing*, therapeutic use exemptions and hearing results or other final adjudications of any *Signatory* to the *World Anti-Doping Code* which are consistent with that *Code* and are within the *Signatory*'s authority, shall be recognised and respected by FAI and its *National Airsport Controls*. FAI and its *National Airsport Controls* may recognize the same actions of other bodies which have not accepted the *World Anti-Doping Code* if the rules of those bodies are otherwise consistent with that *Code*.

ARTICLE 16 STATUTE OF LIMITATIONS

No action may be commenced under these Anti-Doping Rules and Procedures against an *Athlete* or other *Person* for a violation of an anti-doping rule contained in these Anti-Doping Rules and Procedures unless such action is commenced within eight years from the date the violation occurred.

ARTICLE 17 FAI COMPLIANCE REPORTS TO WADA

FAI will report to WADA on the FAI's compliance with the World Anti-Doping Code every second year and will explain reasons for any noncompliance.

ARTICLE 18 AMENDMENT AND INTERPRETATION OF ANTI-DOPING RULES AND PROCEDURES

- **18.1** These Anti-Doping Rules and Procedures may be amended from time to time under the authority of the FAI Executive Board.
- **18.2** Except as provided in Article 18.5, these Anti-Doping Rules and Procedures shall be interpreted as an independent and autonomous text and not by reference to existing law or statutes.
- **18.3** The headings used for the various Parts and Articles of these Anti-Doping Rules and Procedures are for convenience only and shall not be deemed part of the substance of these Anti-Doping Rules and Procedures or to affect in any way the language of the provisions to which they refer.
- **18.4** The INTRODUCTION and the APPENDIX I DEFINITIONS shall be considered integral parts of these Anti-Doping Rules and Procedures.
- **18.5** These Anti-Doping Rules and Procedures have been adopted pursuant to the applicable provisions of the *World Anti-Doping Code* and shall be interpreted in a manner that is consistent with applicable provisions of the *World Anti-Doping Code*. The comments annotating various provisions of the *World Anti-Doping Code* may,

where applicable, assist in the understanding and interpretation of these Anti-Doping Rules and Procedures.

- **18.6** Notice to an *Athlete* or other *Person* who is a member of a *National Airsport Control* may be accomplished by delivery of the notice to the *National Airsport Control*.
- **18.7** These Anti-Doping Rules and Procedures shall not apply retrospectively to matters pending before the date these Anti-Doping Rules and Procedures came into effect.

APPENDIX 1 - DEFINITIONS

<u>Adverse Analytical Finding</u>. A report from a laboratory or other approved <u>Testing</u> entity that identifies in a <u>Specimen</u> the presence of a <u>Prohibited Substance</u> or its <u>Metabolites</u> or <u>Markers</u> (including elevated quantities of endogenous substances) or evidence of the <u>Use</u> of a <u>Prohibited Method</u>.

<u>Anti-Doping Organization</u>. A <u>Signatory</u> that is responsible for adopting rules for initiating, implementing or enforcing any part of the <u>Doping Control</u> process. This includes, for example, the International Olympic Committee, the International Paralympic Committee, other <u>Major Event Organizations</u> that conduct <u>Testing</u> at their <u>Events</u>, <u>WADA</u>, International Federations, and <u>National Anti-Doping Organizations</u>.

<u>Athlete</u>. For purposes of *Doping Control*, any *Person* who participates in sport at the international level (as defined by each International Federation) or national level (as defined by each *National Anti-Doping Organization*) and any additional *Person* who participates in sport at a lower level if designated by the *Person's National Anti-Doping Organization*. For purposes of anti-doping information and education, any *Person* who participates in sport under the authority of any *Signatory*, government, or other sports organization accepting the *World Anti-Doping Code*.

<u>Athlete Support Personnel</u>. Any coach, trainer, manager, agent, team staff, official, medical or para-medical personnel working with or treating *Athletes* participating in or preparing for sports competition.

<u>Attempt</u>. Purposely engaging in conduct that constitutes a substantial step in a course of conduct planned to culminate in the commission of an anti-doping rule violation. Provided, however, there shall be no anti-doping rule violation based solely on an *Attempt* to commit a violation if the *Person* renunciates the attempt prior to it being discovered by a third party not involved in the *Attempt*.

<u>Competition</u>. A single race, match, game or singular athletic contest. For example, the finals of the Olympic 100-meter dash. For stage races and other athletic contests where prizes are awarded on a daily or other interim basis the distinction between a *Competition* and an *Event* will be as provided in the rules of the applicable International Federation.

<u>Consequences of Anti-Doping Rules Violations</u>. An Athlete's or other Person's violation of an anti-doping rule may result in one or more of the following: (a) <u>Disqualification</u> means the Athlete's results in a particular Competition or Event are invalidated, with all resulting consequences including forfeiture of any medals, points and prizes; (b) <u>Ineligibility</u> means the Athlete or other Person is barred for a specified period of time from participating in any <u>Competition</u> or other activity or funding as provided in Article 10.9; [and (c) <u>Provisional Suspension</u> means the Athlete or other Person is barred temporarily from participating in any <u>Competition</u> prior to the final decision at a hearing conducted under Article 8 (Right to a Fair Hearing).]

<u>Disgualification</u>. See Consequences of Anti-Doping Rules Violations above.

<u>Doping Control</u>. The process including test distribution planning, *Sample* collection and handling, laboratory analysis, results management, hearings and appeals.

<u>Event</u>. A series of individual *Competitions* conducted together under one ruling body (e.g., the Olympic Games, FINA World Championships, or Pan American Games).

<u>In-Competition</u>. For purposes of differentiating between <u>In-Competition</u> and <u>Out-of-Competition</u> Testing, unless provided otherwise in the rules of an International Federation or other relevant <u>Anti-Doping Organization</u>, an <u>In-Competition</u> test is a test where an <u>Athlete</u> is selected for testing in connection with a specific <u>Competition</u>.

<u>Independent Observer Program.</u> A team of observers, under the supervision of *WADA*, who observe the *Doping Control* process at certain *Events* and report on observations. If *WADA* is testing *In-Competition* at an *Event*, the observers shall be supervised by an independent organization.

Ineligibility. See Consequences of Anti-Doping Rules Violations above.

<u>International Event</u>. An <u>Event</u> where the International Olympic Committee, the International Paralympic Committee, an International Federation, a <u>Major Event Organization</u>, or another international sport organization is the ruling body for the <u>Event</u> or appoints the technical officials for the <u>Event</u>.

<u>International-Level Athlete</u>. Athletes designated by one or more International Federations as being within the *Registered Testing Pool* for an International Federation.

<u>International Standard</u>. A standard adopted by *WADA* in support of the *World Anti-Doping Code*. Compliance with an *International Standard* (as opposed to another alternative standard, practice or procedure) shall be sufficient to conclude that the procedures addressed by the *International Standard* were performed properly.

<u>Major Event Organizations</u>. This term refers to the continental associations of <u>National Olympic Committees</u> and other international multi-sport organizations that function as the ruling body for any continental, regional or other <u>International Event</u>.

<u>Marker</u>. A compound, group of compounds or biological parameters that indicates the *Use* of a *Prohibited Substance* or *Prohibited Method*.

Metabolite. Any substance produced by a biotransformation process.

<u>Minor</u>. A natural *Person* who has not reached the age of majority as established by the applicable laws of his or her country of residence.

<u>National Anti-Doping Organization</u>. The entity(ies) designated by each country as possessing the primary authority and responsibility to adopt and implement anti-doping rules, direct the collection of *Samples*, the management of test results, and the conduct of hearings, all at the national level. If this designation has not been made by the competent public authority(ies), the entity shall be the country's *National Olympic Committee* or its designee.

<u>National Event</u>. A sport <u>Event</u> involving international or national-level Athletes that is not an <u>International Event</u>.

<u>National Airsport Control (NAC)</u>. A national or regional entity which is a member of FAI or holds sporting powers delegated by a FAI member and is recognized by FAI as the entity governing the FAI's sport(s) in that nation or region.

<u>National Olympic Committee</u>. The organization recognized by the International Olympic Committee. The term *National Olympic Committee* shall also include the National Sport Confederation in those countries where the National Sport Confederation assumes typical *National Olympic Committee* responsibilities in the anti-doping area.

<u>No Advance Notice</u>. A <u>Doping Control</u> which takes place with no advance warning to the <u>Athlete</u> and where the <u>Athlete</u> is continuously chaperoned from the moment of notification through <u>Sample</u> provision.

<u>No Fault or Negligence</u>. The *Athlete's* establishing that he or she did not know or suspect, and could not reasonably have known or suspected even with the exercise of utmost caution, that he or she had *Used* or been administered the *Prohibited Substance* or *Prohibited Method*.

<u>No Significant Fault or Negligence</u>. The *Athlete's* establishing that his or her fault or negligence, when viewed in the totality of the circumstances and taking into account the criteria for *No Fault or Negligence*, was not significant in relationship to the anti-doping rule violation.

Out-of-Competition. Any Doping Control which is not In-Competition.

<u>Participant</u>. Any Athlete or Athlete Support Personnel.

<u>Person</u>. A natural Person or an organization or other entity.

<u>Possession</u>. The actual, physical possession, or the constructive possession (which shall be found only if the person has exclusive control over the *Prohibited Substance/Method* or the premises in which a *Prohibited Substance/Method* exists); provided, however, that if the person does not have exclusive control over the *Prohibited Substance/Method* or the premises in which a *Prohibited Substance/Method* exists, constructive possession shall only be found if the person knew about the presence of the *Prohibited Substance/Method* and intended to exercise control over it. Provided, however, there shall be no anti-doping rule violation based solely on possession if, prior to receiving notification of any kind that the *Person* has committed an anti-doping rule violation, the *Person* has taken concrete action demonstrating that the *Person* no longer intends to have *Possession* and has renounced the *Person's* previous *Possession*.

<u>Prohibited List</u>. The List identifying the *Prohibited Substances* and *Prohibited Methods*.

<u>Prohibited Method</u>. Any method so described on the <u>Prohibited List</u>.

Prohibited Substance. Any substance so described on the Prohibited List.

<u>Provisional Hearing</u>. For purposes of Article 7.5, an expedited abbreviated hearing occurring prior to a hearing under Article 8 (Right to a Fair Hearing) that provides the *Athlete* with notice and an opportunity to be heard in either written or oral form.

[Provisional Suspension. See Consequences above.]

<u>Publicly Disclose or Publicly Report</u>. To disseminate or distribute information to the general public or persons beyond those persons entitled to earlier notification in accordance with Article 14.

<u>Registered Testing Pool</u>. The pool of top level *Athletes* established separately by each International Federation and *National Anti-Doping Organization* who are subject to both *In-Competition* and *Out-of-Competition Testing* as part of that International Federation's or Organization's test distribution plan.

Sample/Specimen. Any biological material collected for the purposes of Doping Control.

<u>Signatories</u>. Those entities signing the *World Anti-Doping Code* and agreeing to comply with the *World Anti-Doping Code*, including the International Olympic Committee, International Federations, International Paralympic Committee, *National Olympic Committees*, National Paralympic Committees, *Major Event Organizations*, *National Anti-Doping Organizations*, and *WADA*.

<u>Tampering</u>. Altering for an improper purpose or in an improper way; bringing improper influence to bear; interfering improperly to alter results or prevent normal procedures from occurring.

<u>Target Testing</u>. Selection of *Athletes* for *Testing* where specific *Athletes* or groups of *Athletes* are selected on a non-random basis for *Testing* at a specified time.

<u>Team Sport</u>. A sport in which the substitution of players is permitted during a *Competition*.

<u>Testing</u>. The parts of the <u>Doping Control</u> process involving test distribution planning, <u>Sample</u> collection, <u>Sample</u> handling, and <u>Sample</u> transport to the laboratory.

<u>Trafficking.</u> To sell, give, administer, transport, send, deliver or distribute a <u>Prohibited Substance</u> or <u>Prohibited Method</u> to an <u>Athlete</u> either directly or through one or more third parties, but excluding the sale or distribution (by medical personnel or by <u>Persons</u> other than an <u>Athlete</u>'s <u>Support Personnel</u>) of a <u>Prohibited Substance</u> for genuine and legal therapeutic purposes.

<u>Use</u>. The application, injection or consumption by any means whatsoever of any *Prohibited Substance* or *Prohibited Method*.

WADA. The World Anti-Doping Agency

World Anti-Doping Code. The document approved in Copenhagen in March 2003 that seeks to harmonize anti-doping regulations for all sports and in all countries (see: http://www.wada-ama.org/).

APPENDIX 2 - Acknowledgment and Agreement

I, as a member of [National Airsport Control] and/or a participant in a [National Airsport Control or FAI] authorized or recognized event, hereby acknowledge and agree as follows:

- 1. I have received and had an opportunity to review the FAI Anti-Doping Rules and Procedures.
- 2. I consent and agree to comply with and be bound by all of the provisions of the FAI Anti-Doping Rules and Procedures, including but not limited to, all amendments to the Anti-Doping Rules and Procedures and all International Standards incorporated in the Anti-Doping Rules and Procedures.
- **3.** I acknowledge and agree that National Airsport Controls and FAI have jurisdiction to impose sanctions as provided in the FAI Anti-Doping Rules and Procedures.
- I also acknowledge and agree that any dispute arising out of a decision made pursuant to the FAI Anti-Doping Rules and Procedures, after exhaustion of the process expressly provided for in the FAI Anti-Doping Rules and Procedures, may be appealed exclusively as provided in Article 13 of the FAI Anti-Doping Rules and Procedures to an appellate body for final and binding arbitration, which in the case of International-Level Athletes is the Court of Arbitration for Sport.
- 5. I acknowledge and agree that the decisions of the arbitral appellate body referenced above shall be final and enforceable, and that I will not bring any claim, arbitration, lawsuit or litigation in any other court or tribunal.

legal guardian)

Date	Print Name (Last Name, First Name)
Data of Divila	Oissantina (an if a minan aissantina at
Date of Birth	Signature (or, if a minor, signature of

I have read and understand this Acknowledgement and Agreement.

6.

(Day/Month/Year)